

ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ



ವಿಶ್ವವಿದ್ಯಾನಿಲಯ ಕಾರ್ಯಾಲಯ, ಬಿ. ಎಚ್. ರಸ್ತೆ, ತುಮಕೂರು - 572 103

ಸಂಖ್ಯೆ: ತು.ವಿ.ಪಿ.ಹೆಚ್.ಡಿ-2026-27//29

ದಿನಾಂಕ: 01.04.2026

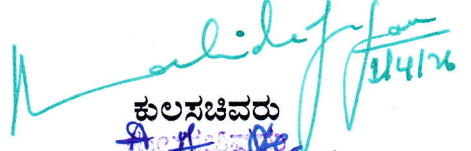
ಅಧಿಸೂಚನೆ

ವಿಷಯ: ಪಿ.ಹೆಚ್.ಡಿ-2024 ವಿನಿಯಮವನ್ನು ಅನುಷ್ಠಾನಗೊಳಿಸುವ ಕುರಿತು.

- ಉಲ್ಲೇಖ: 1. ಸರ್ಕಾರದ ಪತ್ರ ಸಂಖ್ಯೆ: ಇ.ಸಂ: ಇಡಿ 54 ಯುಟಿಟಿ 2023 ದಿನಾಂಕ: 20.03.2026.  
2. ಮಾನ್ಯ ಕುಲಪತಿಯವರ ಅನುಮೋದನೆ (ಕಂಡಿಕೆ- 57) ದಿನಾಂಕ: 31.03.2026.

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ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯದ ಪಿ.ಹೆಚ್.ಡಿ 2024 ವಿನಿಯಮ (Regulations governing the award of the Degree of Doctor of Philosophy-2024) ನ್ನು ಮಾನ್ಯ ರಾಜ್ಯಪಾಲರು ಹಾಗೂ ಕುಲಾಧಿಪತಿಗಳು ದಿನಾಂಕ: 05.03.2026 ರಂದು ಅನುಮೋದನೆ ನೀಡಿರುವುದಾಗಿ ಉಲ್ಲೇಖ (01) ರ ಸರ್ಕಾರದ ಪತ್ರದಲ್ಲಿ ತಿಳಿಸಲಾಗಿದೆ. ಅದರನ್ವಯ ಪಿ.ಹೆಚ್.ಡಿ 2024 ವಿನಿಯಮವನ್ನು ಅಳವಡಿಸಿಕೊಳ್ಳಲು ಅಧಿಸೂಚನೆ ಹೊರಡಿಸಲಾಗಿದೆ.

  
ಕುಲಸಚಿವರು  
ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ  
ತುಮಕೂರು

ಪ್ರತಿಗಳು,

1. ಘನತೆವೆತ್ತ ರಾಜ್ಯಪಾಲರ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು, ರಾಜಭವನ, ಬೆಂಗಳೂರು.
2. ಸರ್ಕಾರದ ಪ್ರಧಾನ ಕಾರ್ಯದರ್ಶಿಗಳು, ಉನ್ನತ ಶಿಕ್ಷಣ ಇಲಾಖೆ, ಬಹುಮಹಡಿಗಳ ಕಟ್ಟಡ, ಬೆಂಗಳೂರು.
3. ಕುಲಸಚಿವರು (ಪರೀಕ್ಷಾಂಗ), ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ತುಮಕೂರು.
4. ಎಲ್ಲಾ ವಿಭಾಗದ ಮುಖ್ಯಸ್ಥರು/ಸಂಯೋಜಕರು, ಸ್ನಾತಕೋತ್ತರ ಅಧ್ಯಯನ ಮತ್ತು ಸಂಶೋಧನಾ ವಿಭಾಗ, ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ತುಮಕೂರು.
5. ಪ್ರಾಂಶುಪಾಲರು, ವಿಶ್ವವಿದ್ಯಾನಿಲಯದ ಘಟಕ ಕಾಲೇಜುಗಳು, ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ತುಮಕೂರು.
6. ಎಲ್ಲಾ ವಿಭಾಗದ ಉಪಕುಲಸಚಿವರು, ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ತುಮಕೂರು.
7. ಮಾನ್ಯ ಕುಲಪತಿಗಳ ಆಪ್ತ ಕಾರ್ಯದರ್ಶಿಗಳು, ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ತುಮಕೂರು.
8. ಕುಲಸಚಿವರ ಆಪ್ತ ಕಾರ್ಯಾಲಯ, ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ತುಮಕೂರು.
9. ಸಿಸ್ಟಂ ಅನಾಲಿಸ್ಟ್, ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ, ತುಮಕೂರು.
10. ಕಚೇರಿ ಪ್ರತಿ.



# TUMKUR UNIVERSITY

VISHWAVIDYANILAYA KARYALAYA, B. H ROAD, TUMKUR - 572103

No.TU:Ph.D:2026-27/30

Date: 01.04.2026

## NOTIFICATION

- Sub:** Regulation governing the standards and procedures for the Award of the degree of Doctor of Philosophy-2024.
- Ref:** 1. Government Letter No. ಇ.ಸಂ: ಇಡಿ 54 ಯುಟಿಟಿ 2023 Date: 20.03.2026.  
2. Approval of the Vice Chancellor (Para - 57) Dated: 31.03.2026.

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Pursuant to the above, the **Regulations governing the award of the Degree of Doctor of Philosophy-2024** of Tumkur University assented by His Excellency the Chancellor on 05.03.2026 as communicated in reference (01) is hereby notified.

  
**Registrar**  
Tumkur University  
Tumkur

### Copy to:

1. The Principal Secretary to His Excellency the Governor of Karnataka and the Chancellor of Universities, Raj Bhavan, Bangalore.
2. The Principal Secretary to the Government, Education Department (Higher Education), M.S. Building, Bangalore – 560 001.
3. The Registrar (Evaluation), Tumkur University, Tumkur.
4. The Chairpersons/Coordinators of all P.G. Departments/ PG Courses, Tumkur University, Tumkur.
5. The Principals of Constituent Colleges, Tumkur University, Tumkur.
6. The Deputy Registrars of All Sections, Tumkur University, Tumkur.
7. P.S. to Vice-Chancellor/P.A. to the Registrar, Tumkur University, Tumkur.
8. System Analyst, Tumkur University, Tumkur.
9. Office Copy.



**TUMKUR UNIVERSITY**  
**Regulations Governing the Award of Degree of**  
**Doctor of Philosophy, 2024**

**PREAMBLE:**

Tumkur University Regulations Governing the Award of Degree of Doctor of Philosophy, 2024 is in confirmation with the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 2022, notified on 7<sup>th</sup> November 2022 and UGC Public Notice No.F.4-1 (UGC-NET Review Committee)/2024 (NET)/140648 dated 27<sup>th</sup> March 2024.

The Directorate of Research established in the University under the supervision of the Registrar shall be responsible for offering the Ph.D. Programme, from the stage of notification for admission submission of the Thesis and other administrative issues. However, the Registrar (Evaluation) shall be completely responsible for the evaluation and the award of Ph.D. degree to a candidate.

**1. TITLE AND COMMENCEMENT:**

- 1.1 These Regulations shall be called the Tumkur University Regulations Governing the Award of Degree of Doctor of Philosophy, 2024.
- 1.2 These Regulations shall come into force from the date of ascent of the Chancellor of Universities.

**2. DEFINITIONS:**

In these Regulations, unless the context otherwise requires

- 2.1 "University" means Tumkur University.
- 2.2 "Degree" means the degree of Doctor of Philosophy [Ph.D.]
- 2.3 "Department" means the Department of Studies and Research of the University/ Departments of the Constituent Colleges/Recognized Research Centeres where a candidate intends to work for the degree either as a full-time candidate or as a part-time candidate.
- 2.4 "Head of the Department" means Chairperson of the Department/Coordinator of the Department of Studies and Research of the University/Head of the Departments of the Constituent Colleges/Director of the Institute/ Coordinator/Director of the Recognized Research Center where a candidate works for the degree.
- 2.5 "Candidate" means any person who fulfills the prescribed eligibility conditions as stated later in clause – 5 of these regulations and who intends to register for the degree.

  
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- 2.6 "Foreign Educational Institution" means-(i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
- 2.7 "Interdisciplinary Research" means research conducted by a Ph.D. scholar in two or more academic disciplines;
- 2.8 "Board of Studies" means the Board of Studies in the subject concerned/Joint Boards of Studies in more than one subject/Interdisciplinary Board of Studies constituted as per the clause – 3.3 of these regulations by the University.
- 2.9 "Course work" means the compulsory preparatory study to be undertaken by a candidate as prescribed by Board of Studies.
- 2.10 "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student in all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
- 2.11 "Credit" means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit;
- 2.12 "College" means an institution engaged in higher education and/or research, either established by a University as its constituent unit or is affiliated with it;
- 2.13 "Open and Distance Learning Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- 2.14 "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- 2.15 "Research Supervisor" means the faculty/scientist/guide recognized by the Tumkur University to guide research work of a candidate who fulfills eligibility as provided in clause – 4 of these regulations.
- 2.16 "Co-Research Supervisor" means the research supervisor recognized by the University who fulfills eligibility as provided in clause – 4 of these regulations and agrees to supervise the work of a candidate jointly with the main Research Supervisor.
- 2.17 "Research Advisory Committee" means the committee constituted as per the clause – 3.1 of these regulations by the University to assess research proposals of candidates for registration, to review the progress of the candidates and also to permit the candidates to submit the final synopsis and the doctoral Thesis after reviewing the work of the candidate in the pre-submission colloquium.
- 2.18 Sponsored Candidates means those who are the permanent employees of educational/research institutions, public/private sector organizations, teachers on FIP (Faculty improvement program) and those candidates deputed to pursue full-time Ph.D. programme by their employers. However, such candidates will have

to fulfill the usual eligibility criteria applicable to other candidates. They shall appear for Entrance Test.

- 2.19** Foreign/International Candidate means any person who has completed a qualifying degree from an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode.
- 2.20** Equivalence Committee means the committee constituted by the University chaired by the Vice-Chancellor, with the Registrar, the Registrar (Evaluation), Deans of the respective Faculty, Chairpersons of the Board of Studies, and Chairpersons/Coordinators of the concerned department as members to determine the equivalence of the foreign candidates/candidates from other Universities in the country.
- 2.21** Refereed/Reputed Journals means professional or literary journals in which articles or papers are selected for publication through peer review process and/or are recognized by UGC.
- 2.22** "Ph. D - Board of Examiners" means the panel of examiners constituted by the University for conducting various examinations pertaining to Ph. D. and evaluating/adjudicating the Ph.D. Thesis submitted by a candidate.

### **3. CONSTITUTION AND FUNCTIONS OF THE RESEARCH ADVISORY COMMITTEE:**

- 3.1** The Research Advisory Committee, constituted by the University, shall consist of:
- (a) The Chairman/Coordinator of the Department/Chairperson of the BOS (in case of recognized research centers/disciplines where a PG Department does not exist): Chairperson
  - (b) The Chairperson of the Board of Studies: Member
  - (c) The Research Supervisor:  
Convener
  - (d) Co- Research Supervisor (if any): Member
  - (e) One senior Faculty Member of the concerned Department/discipline nominated by the Vice-Chancellor: Member
  - (f) One external subject/discipline expert, nominated by the Vice-Chancellor on the advice of the Chairman of the BOS: Member

  
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3.2 The faculty members to be nominated by the Vice-Chancellor are those whose domain of expertise fall in the broad area of the research work and should hold a Ph.D. degree with a track record of successful Ph.D. guidance. The Research Supervisor shall be the Convener of the Research Advisory Committee. Wherever Research Supervisor is also the Chairperson of the Department/Chairperson of the Board of Studies, the Vice Chancellor shall nominate faculty members of the department on the basis of seniority as members of the Committee.

3.3 In Departments where the number of faculty is two or less than two, members of the Research Advisory Committee shall be chosen from sister Departments/Disciplines within the University or experts from other Universities nominated by Vice-Chancellor. If the Chairman of the Department and Chairman of Board of Studies is same, then other senior faculty member of the Department, nominated by Vice-Chancellor shall be the member.

3.4 As the members of the Research Advisory Committee may change due to superannuation, resignation of faculty or any other reason (s), such vacancies can be filled up by the University upon a written request from the Head of the Department/Research Supervisor, if membership in the Committee is by name only.

3.5 The Research Advisory Committee shall monitor and assess:

- (a) Pre-registration colloquium as in 6.8,
- (b) Progress reports and presentations of a candidate submitted periodically as in clause – 7 of these regulations and final synopsis.
- (c) Pre-Thesis submission colloquium as in 8.2.
- (d) Open viva-voce of the candidate as in 8.6.

3.6 **Board of Studies (BoS):** Subject-wise Board of Studies shall be constituted in the University (Section – 33 of KSU Act – 2000).

#### 4. ELIGIBILITY CRITERIA FOR RECOGNITION AS RESEARCH SUPERVISOR:

4.1 (a) Permanent faculty members working as Professors/Associate Professors of the University with a Ph.D., and at least five research publications in peer reviewed or refereed journals. If they wish to supervise candidates in other disciplines/interdisciplinary subjects, then they have to formally apply for such recognition as prescribed in 4.1(d).

(b) Permanent faculty members working as Assistant Professors in the University with Ph.D. and at least three research publications in peer-reviewed or

refereed journals may be recognized as a Research Supervisor in the University/constituent colleges where the faculty member is employed.

Such recognized Research Supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the University or constituent Colleges/institutes/recognized research centers would be in violation of these Regulations. If the research area is interdisciplinary, persons with Ph.D. in related subjects of the relevant interdisciplinary area and fulfilling the conditions mentioned above can be recognized as Research Supervisors.

(c) For Ph.D. scholars working in Central government/State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements.

(d) Faculty/Scientists interested to supervise candidates in a particular discipline/interdisciplinary studies, shall submit an application with detailed curriculum vitae (CV) to the Registrar for recognition as Research Supervisor of the University along with evidence of regular services and of publications of research articles in the discipline concerned.

4.2 To decide upon **recognition of Research Supervisor**, the Registrar shall refer the application with CV and other enclosures including publications to the Chairman, BoS of the concerned subject to seek the opinion of the BoS members by calling a meeting or by circulation (if BoS meeting is not scheduled around that time). The Chairman of BoS accordingly shall submit the recommendations regarding the recognition of the Research Supervisor to the Registrar. The Registrar shall notify the recognition after the approval by the Vice-Chancellor.

4.3 Faculty members with less than two years of service before superannuation shall not be allowed to take new research scholars under their supervision. Concerned departments shall submit the number of vacancies accordingly at the time of notification. Faculty/Scientists who attain superannuation or resign their jobs will lose recognition as Research Supervisor/Co-Research Supervisor. However, such a person should give an undertaking that he/she shall have the responsibility of continuing to guide candidates who were already registered under him/her. In case the Research Supervisor who quits the institution/University cannot take the responsibility of the ongoing candidate (s) then the head of the research Centre/Chairman of the department/Chairperson of the BOS should make alternate arrangements in the interest of the candidate(s) in consultation with the Vice-Chancellor.

4.4 In **exceptional cases** where a Research Supervisor who has attained superannuation but continues to work under sponsorship from recognized funding agencies, the Vice-Chancellor can extend the recognition of such person as Research Supervisor for a specific period after superannuation on a case-by-case basis upon request from the concerned Research Supervisor supported by relevant evidences of such funding/sponsorship.

4.5 a) The number of candidates to be supervised by a Research Supervisor shall be governed as per the table below and prevailing Government reservation policy.

Designation of the Supervisor	Maximum number of candidates
Professor	08
Associate Professor	06
Assistant Professor	04

b) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars. However, such candidates need not necessarily appear for the entrance test conducted by the University and the University shall consider their application as and when their applications are received. Once the candidate submits his/her application, it shall be referred to the Research Advisory Committee. Research Advisory Committee shall conduct the interview and suitably recommend for 'Provisional Admission' if the candidate fulfills the requisite conditions. University shall issue 'Provisional Registration Certificate' accordingly. **Provisional Registration for such candidates shall be from the date of interview.** The candidate shall undergo coursework in the forthcoming Ph. D. academic calendar.

c) A Research Supervisor/Co-Research Supervisor has to sign a declaration about the number of candidates and the category to which they belong working with him/her at the time of enrollment of each candidate. A faculty shall not Co-Research Supervise more than four students at a time. A faculty who joins the University and is guiding candidates working in other Universities/Institutions shall inform the University of the same and get permission from the University to continue guiding these candidates. However the total number shall not exceed as specified in above table (4.5a) at any given point of time.

d) A Research Supervisor/Co-Research Supervisor who has been recognized to supervise the work in more than one subject is also governed by the preceding provisions. The total candidates working under any Research Supervisor shall be the sum total of all the candidates working under his/her supervision in all the subjects (including from the previous institutions if any). A Co-Research

Supervisor shall be any person recognized as a Research Supervisor by any institution/University/Research Centre/Institution of national importance.

In case where the research of a candidate is inter/multi-disciplinary in nature, the candidate may opt for a Co-Research Supervisor, who shall also be a recognized Research Supervisor of the University in the concerned or related subject. However, the main responsibility of supervising the research work is vested with the Research Supervisor and the candidate shall finalize and submit the Thesis through the Research Supervisor giving due acknowledgment and credit to the Co-Research Supervisor which shall also be mentioned in the Ph.D. Notification by the Registrar (Evaluation) after successful completion of Ph.D.

- 4.6 In the Departments wherever infrastructural requirements including chemicals/ equipment/ instruments are insufficient, the Heads of the Departments shall decide on the number of candidates without fellowship/ stipend and send this information to the Registrar before notification for admission.

## 5. ELIGIBILITY CRITERIA FOR A CANDIDATE:

Any candidate who satisfies the following conditions is eligible to seek admission for Ph.D. in a discipline upon application for provisional registration.

A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.

Or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institutions. Equivalence committee shall determine the equivalence of the foreign candidates.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled categories.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme with research should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is

followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and candidates.


CA/CS/ICWA qualification shall be considered equivalent to PG degree. Such candidates shall also be considered for the admission to the Ph. D. degree provided they fulfill all the other requisite conditions mentioned above.

**5.1 The notification for the Ph.D. Programme of the University should be governed by the following:**

- 5.1.1 To be decided on annual basis through the advice from the Departments of Studies and Research of the University/Departments of the constituent colleges/recognized research centers, a pre-determined and manageable number of Ph.D. scholars to be admitted depending on the number of Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar: teacher ratio, laboratory, library, hostel and such other facilities.
- 5.1.2 To be notified in consultation with the Registrar (Evaluation) well in advance on the University website and through advertisement in at least two reputed newspapers among which one is regional language newspaper, the number of seats for admission discipline/Research Supervisor/category-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.
- 5.1.3 The applications from the candidates shall be directly received by the departments, scrutinized as per the regulations and the merit list, and other details shall be submitted to the Registrar. The merit list will be displayed on the University website.
- 5.1.4 Each candidate shall submit application for one subject only.
- 5.1.5 Admission notification shall be issued every year within one month from the date of announcement of PG course results provided if there are vacancies.
- 5.1.6 Adhere to the State-level reservation policy, as applicable from time to time.

**5.2 Entrance Test and Interview:** Admission to Ph.D. shall be through an Entrance Test and Interview. Applications for admission to a Ph.D. programme of the University through an Entrance Test shall be invited by the Registrar.

  
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- 5.2.1 **The Entrance Test, medium of instruction, course work and the Thesis can be either in Kannada or English with the exception of other Indian languages.**
- 5.2.2 The **Entrance Test** shall consist of ONE paper for 100 marks with 3 hours duration including Research Methodology (comprising 30% to 50%) and the Cognate Subject. The question paper pattern shall be in MCQs/descriptive pattern. Separate syllabus for the entrance test and Question paper pattern as per the Conditions mentioned above shall be framed by the respective Boards of Studies.
- 5.2.3 The Entrance Test question papers shall be set by the external examiners.
- 5.2.4 For candidates intending to do inter/multidisciplinary research, the Entrance Test shall be a common Entrance test in a general paper and the syllabus of the paper shall be framed by inter/multidisciplinary Board of Studies.
- 5.2.5 A candidate needs to secure at least 50 marks out of 100 (45 marks out of 100 in case of SC/ST/OBC (non-creamy layer)/Differently abled) in the Entrance Test shall be eligible for admission to the Ph.D. programme.
- 5.2.6 The process of entrance test is applicable to all candidates who intend to pursue research leading to Ph.D. degree and it shall be conducted by the Department/BOS of the Subject concerned for all research centers recognized by the University.
- 5.3 The results of the Entrance Test shall be declared by the Registrar (Evaluation) who shall issue the Marks sheet of the Entrance Test. The Marks sheets of the candidates who have qualified the examination will serve as the eligibility certificate.
- 5.4 Candidates who have qualified for fellowships (with financial assistance from concerned agencies) such as NET (Category-1)/CSIR/DST/DBT/ICMR-JRF/SRF, INSPIRE fellowships are exempted from appearing for the Entrance test. Such candidates can join the Ph.D. program at any time during the year provided they fulfill the following conditions. Such candidates shall submit an application in a prescribed format to the University through the concerned Head of the Department and the proposed guide (if any) along with 'Fellowship Certificate' clearly mentioning the award of the fellowship and other relevant details. Once the candidate submits his/her application, it shall be referred to the Research Advisory Committee. Research Advisory Committee shall give 70% weightage for PG programme result and 30% weightage for interview, conduct the interview and suitably recommend for 'Provisional Admission' if the candidate fulfills the requisite conditions in clause 4.5(a). University shall issue 'Provisional Registration Certificate' accordingly. **Provisional Registration for**

**such candidates shall be from the date of interview.** The candidate shall undergo coursework in the forthcoming Ph. D. academic calendar.

- 5.5** Candidates declared eligible in NET in categories - 2 and 3 are eligible for admission to Ph. D. For candidates who qualify in categories in 2 and 3, 70% weightage will be given for test scores and 30% weightage for the interview. The marks obtained in NET by the candidates in categories 2 and 3 will be valid for a period of one year for admission to Ph.D.
- 5.6** In the case of candidates who have qualified through Entrance Test, a weightage of 70% to the entrance test and 30% to the performance in the interview shall be considered.
- 5.7 Counseling for allocation of Research Supervisor:** Depending upon the vacancies with a Research Supervisor and considering the total vacancies of the discipline the chairman of the Research Advisory Committee shall conduct Research Supervisor allocation counseling. A list of candidates for enrolment shall be prepared by the Chairman of the Research Advisory Committee in order of the preference for Research Supervisors as indicated by the candidate during the Counseling for allocation of Research Supervisor. After the consent of the candidates and the Research Supervisor, the list will be communicated to the Registrar of the University by the Chairman of the Research Advisory Committee immediately after the counseling process is completed. The Registrar will then notify the same on the website of the University.
- 5.8 Provisional Registration:** The candidates in the Research Supervisor Allotment list shall submit an application for the Provisional Registration as Doctoral Candidates after payment of stipulated fees to the University. Application forms shall be submitted after the consent of the Research Supervisor and forwarded through the Chairperson of the Department/Coordinator of the department/research center to Directorate of Research of the University. The Research Supervisor should also submit a declaration about the vacancies available as per regulations 4.5 (a, b, c and d). Registrar will notify the same by issuing the provisional registration/Enrollment and monitor the details that regulations are adhered to in letter and spirit. The date of provisional registration shall be from the date of beginning of the coursework for the candidates admitted through the notification.
- 5.9** Full-time Ph.D. scholars receiving fellowship/stipend (from UGC/CSIR/DBT/ICMR/INSPIRE/DST/State Govt./University etc) shall be honorarily assigned 4-6 hours of workload per week for conducting lectures/tutorials/laboratory work/evaluations.

## 6. REGULAR REGISTRATION:

6.1 All provisionally registered candidates shall undergo Course-Work for not less than six months. The University shall notify the Calendar of Events upon advice from the various Departments. The performance of the candidate shall be assessed in continuous mode. The continuous assessment during learning period will be for 25% and the course end assessment at the end of the course-work will be for 75%. The course structure and the suggested assessment scheme are presented in the table below:

Sl No	Course title	Instruction Hrs. per Week	No. of Credits	Type of the Exam & Duration	Marks for Internal Assessment	Marks for Course work Exam	Total Marks
1	Research Methodology	05 (60 hours)	05	Theory Exam 03 hrs	25	75	100
2	Specialization Paper(s)	05 (60 hours)	05	Theory Exam 03 hrs	25	75	100
3	Research and Publications Ethics (RPE)	02 (30 hours)	02	Theory Exam 02 hrs	10	40	50

6.2 Syllabus for the Research Methodology, Specialization Papers and Research and Publications Ethics (RPE) is to be prepared and approved by the subject-wise BoS. The BoS can decide upon the number of specialization papers for the respective departments depending upon the number of candidates registered. The prepared syllabus shall be approved by the concerned authorities. Research and Publications Ethics syllabus shall be prepared as per the UGC guidelines.

6.3 The method of instruction for Research Methodology and specialization papers can be based on class room teaching by the faculty of the Department/seminars/special lectures or any other form as mandated by the discipline specific BoS. The workload borne by the faculty for teaching this course should be counted as official workload of the faculty as per the existing UGC regulations.

6.4 Continuous **Internal Assessment** for Research Methodology, Specialization Papers and Research and Publication Ethics papers should include the activities as per the tables below as decided by the discipline-wise BOS. The Internal assessment marks obtained by the individual candidates shall be communicated to the Registrar (Evaluation) at the end of the course-work by the Chairperson of the Department/Research Center where the course work is being conducted. The attendance for the course-work should be maintained by the Chairman of the Department/Coordinator of the Department/Head of the Recognized Research Center.

<b>Research Methodology/Specialization Paper</b>	
<b>Activity</b>	<b>Marks</b>
Presentation	10
Assignment on Review of Literature	5
Internal Test	10

<b>Research and Publication Ethics Paper</b>	
<b>Activity</b>	<b>Marks</b>
Presentation	4
Assignment on Review of Literature	2
Internal Test	4

6.5 The Chairman of the Department/Coordinator of the department/Head of the research center acts as the coordinator for conducting the Course work. A candidate has to put in a minimum of 75% of attendance, failing which he/she is deemed to have not been successful in course work and the provisional registration of the candidate shall be cancelled.

6.6 i. The Registrar (Evaluation) through the Subject-wise Ph.D. BOE shall arrange to get the question paper set, shall notify the date of coursework examination and get scripts evaluated for research methodology, specialization paper, and paper on Research and Publication Ethics (RPE) from one internal and one external examiner of the approved board.

ii. The Registrar (Evaluation) shall initiate the coursework exam process one month before the completion of the coursework. The coursework exam shall be completed within fifteen days after the completion of the coursework. Results shall be announced within ten days from the date of the coursework examination.

iii. A Candidate has to secure a minimum of 50% marks (including the internal assessment) in all the courses for qualifying the course-work examination.

**(iv) Challenge valuation:**

a. After declaration of the coursework examination, if any candidate wishes to apply for challenge valuation, he/she shall submit an application in the prescribed form by paying prescribed fees through the Research Supervisor and Head of the Department within 10 days from the date of announcement of results.

b. Candidates who have secured 15% of maximum marks or more in each paper in course-work theory examination are eligible to apply for challenge valuation.

Note: Photocopy of answer scripts may be issued based on request and payment of prescribed fee.

- c. After receipt of the application of challenge valuation, the Registrar (Evaluation) either personally or through a Deputy Registrar/Special Officer/Coordinator appointed for the purpose, with the approval of the Vice-Chancellor shall make arrangements for evaluation of answer scripts. The examiner appointed (preferably external examiner) for challenge valuation shall be from among the panel of examiners already approved by the BoS.
- d. In case of challenge valuation, the marks awarded to the candidate in the challenge valuation shall be final.

6.7 (i) Candidates who are unsuccessful in examination, but have fulfilled the attendance requirement, shall be permitted to avail supplementary examination within a maximum period of **8 weeks** from the date of provisional announcement of results by the Registrar (Evaluation). Such candidates shall submit an application along with prescribed fee through the Research Supervisor and the Chairman of the Department to Registrar (Evaluation) requesting to arrange a supplementary examination. Registrar (Evaluation) in consultation with Chairman – BOE shall arrange supplementary examination and declare the results within a period of 8 weeks from the date of provisional announcement of results.

(ii). The candidate who remains unsuccessful even in supplementary examination is declared to have not cleared the course work and his/her provisional registration shall stand cancelled and the same shall be notified by the Registrar.

(iii) All successful candidates receive a marks card (course completion certificate), Containing the titles of the course and marks/grades scored.

## 6.8 Pre-registration Colloquium

- a) After successful completion of the Course Work as certified by the Registrar (Evaluation) the candidate, in consultation with his/her Research Supervisor, shall prepare a research proposal and **submit five copies of the Ph.D. research proposal** to the Department/Research Center through the Research Supervisor within fifteen days from the date of announcement of results of the coursework. The department shall organize the meeting of the Research Advisory Committee for all the candidates *within one month* from the date of receiving communication from the University to conduct the Pre-registration Colloquium.

- b) Every candidate shall ensure that the Research proposal clearly defines the objectives, methodology, expected results and their implications in terms of filling up gaps in existing knowledge and its social/scientific relevance.
- c) Every candidate shall prepare a brief technical report comprising literature Survey/work plan and the scientific relevance of the **proposed research and shall present** it in the form of a colloquium before the Research Advisory Committee.
- d) The Research Advisory Committee is authorized to suggest changes, if necessary, in the title/scope, methodology of the topic selected for research, based on the research proposal and presentation of the candidate in the Pre-registration Colloquium. Research Advisory Committee shall mandatorily recommend whether the candidate is undertaking research on part-time or full-time basis.
- e) The Research Advisory Committee shall assess the preparedness of the candidate and may recommend presenting the colloquium again if the performance/preparedness of the candidate in the first instance is not satisfactory. Only one re-appearance is permitted within *2 months* from the date of the first Colloquium.
- f) If the Research Advisory Committee does not approve the research proposal even after the second presentation, such a candidate, after a lapse of one year from the date of the second presentation, can again appear for the Pre-registration Colloquium, **after fresh Provisional Registration without undergoing Course Work.**

**6.9 Ph.D. Registration:** After successful completion of the Pre-registration Colloquium, candidates shall submit their applications for Registration with prescribed fees to the Head/Chairman of the respective Department along with five copies of the Synopsis through the Research Supervisor and Co-Research Supervisor (if any). Head/Chairman of the respective Department shall forward these applications to the Chairman of the Board of Studies. The Board of Studies shall review these applications in a meeting and make suitable recommendations to the Directorate of Research within fifteen days from the date of Pre-registration Colloquium.

- 6.10** (a) If any candidate fails to apply in time for Registration, he/ she forfeit his/ her claim for Registration. Such a candidate has to re-apply for admission and go through the Entrance Test afresh.
- b). The Directorate of Research upon receiving the recommendations from the BoS shall verify and obtain approval from the Registrar.

- c). The Registrar has to **notify the Registration within fifteen days** of the receipt of the recommendations of the BoS to the candidate/Research Supervisor Chairpersons of Board of Studies/Department/Research Institute/Research Advisory Committee members. **The Registration is effective from the date of Provisional Registration.**
- d). In case the Board of Studies suggests changes in the Ph.D. Synopsis, the candidate in consultation with the Research Supervisor shall modify the synopsis and submit the modified synopsis to the Chairman of the department along with the declaration of the changes made and the same shall be forwarded to the Directorate of Research for provisional registration.

#### 6.11 Period of Registration:

- (i) Ph.D. programme shall be for a minimum duration of **three years for full-time candidates** and **four years for part-time candidates** including course work and a maximum of six years.
- (ii) The Ph.D. Registration is **valid for SIX years only** from the date of provisional Registration.
- (iii) The minimum length of the PhD program must still be four years, even if a candidate aspires to switch from a part-time to a full-time or full-time to a part-time program for their doctoral studies. The prescribed fee shall be paid for the conversion from part-time to a full-time or full-time to a part-time program.
- (iv) Candidates who have obtained a fellowship from the University, Government of India/Karnataka or who have secured UGC-CSIR JRF/SRF or working in a sponsored project of the Research Supervisor for their Ph.D. programme is for full-time Ph.D. Attendance of the full-time research scholars shall be maintained by the department.

If a candidate fails to complete their research within the stipulated time for some specific reasons, a maximum of an additional two (2) years can be given through a process of re-registration; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of provisional registration to the Ph.D. programme.

Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years. In such cases, if the total period for completion of a Ph.D. programme exceeds eight (8) years, a maximum of an additional two (2) years can be given through a process of re-registration; however, the total period for

completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of provisional registration to the Ph.D. programme.

- (v) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days once in the entire duration of the Ph.D. programme.
- (vi) **Re-registration:** If a candidate fails to submit the Thesis in stipulated period, such candidate shall undergo re-registration. Such candidate shall submit an application to the University one month prior to the end of the period. It shall be examined by the Research Advisory Committee and submit recommendation accordingly. The candidate shall pay the one time registration fee and annual fee (yearly basis) (prescribed for III year) and submit the Thesis within additional two years period. If the candidate fails to submit the Thesis before completion of additional two years, the registration of such candidate stands automatically cancelled.
- (vii) In case of relocation of a female scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give due credit to the Research Supervisor and the institution for the part of research already undertaken.

**6.12** Foreign national candidates have to comply with regulations as mentioned below.

**Eligibility:** The Foreign/International candidates who have obtained their qualifying degrees a **Certificate of Eligibility** stating that he/she has the eligibility to pursue Ph.D. in that subject must be obtained, Eligibility given for one subject cannot be transferred to another subject and has to be obtained separately. The candidates have to obtain the eligibility certificate before the start of the course work and those candidates who are successful in the examination but do not hold a eligibility certificate will not be provided provisional registration.

**Research Visa:** All Foreign/International candidates have to obtain a valid Research Visa for pursuing research. Foreign nationals with Student Visa, Tourist Visitor or any other form of Visa cannot carry on Research in the University.

Obtaining a letter from the Foreign Registration Office that his/her application has been forwarded to the Ministry of Home Affairs for obtaining final approval for conversion of Student Visa to Research Visa is mandatory for a foreign national/international candidate for seeking provisional registration. After obtaining this letter from the Foreign Registration Office, an international/foreign

candidate has to obtain a letter granting provisional registration from the Registrar.

Only those foreign nationals who have a valid Residential Permit can seek NOC from the International office of the University. Permanent registration shall be given only on submission of Research Visa and NOC from the International office of the University.

**Letter of Permission to Seek Research Visa:** Prior to seeking permanent registration in the Tumkur University, permission to seek research visa must be submitted to the Registrar through the concerned department and the International Center. The prescribed application form along with marks transcripts, certificate of eligibility, and supervisor's letter of consent and a brief outline of the research proposed to be conducted must be submitted to the International Center along with the application duly signed by the research supervisor and the chairperson. After verification of the documents the International Center will forward the application for seeking research visa to the Registrar for issuance of Letter of Permission to seek Research Visa.


After obtaining the letter of permission from the Registrar, a foreign national has to submit to the Ministry of Home Affairs, Government of India, eight sets of applications duly signed by the Registrar, for submission to the Indian Diplomatic Mission for consideration of issuance of Research Visa.

## 7. PROGRESS OF RESEARCH WORK:

7.1 After Registration, a candidate is required to mandatorily submit the following to the respective Head of the Department:

- a) Progress Report of Research Work done by the candidate along with the recommendation of the Research Supervisor on the status of the progress once in six months.
- b) Prescribed tuition and laboratory fees shall be paid once a year and challan along with the progress report of that particular year has to be submitted.
- c) The candidate shall appear before the Research Advisory Committee **once in six months** which shall be conducted by the Chairman of the Research Advisory Committee to make a presentation of the progress of his/her work for evaluation and further guidance. After the meeting of the Research Advisory Committee, the six month progress reports and its recommendations shall be submitted to the Directorate of Research of the University. The Directorate of Research shall communicate to the candidate the status of his/her progress.

  
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Tumkur University  
Tumkur

  
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Tumkur University  
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**7.2 Failure to submit two Consecutive Research Progress Reports shall entail cancellation of the Registration. The Research Advisory Committee shall recommend for cancellation of registration if two consecutive Progress reports are not submitted to the University which in turn shall be notified by the Registrar.**

In case the progress report of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. The candidate shall submit the revised progress report within a month.

**7.3** The candidate shall publish at least *two research articles* in UGC CARE listed/ Scopus/ Web of Science indexed journals as main author based on his/ her proposed Research Work. However, the candidates belonging to departments of vernacular languages shall publish at least *two research articles* in peer reviewed scholarly journals. In addition, the candidate should *present two papers* in National or International conferences/ seminars/ workshops/ symposia as the first and presenting author to be eligible to submit the final Thesis to the University. If the published reprints are not available, the candidate has to provide the evidence of acceptance of papers attested by the Research Supervisor.

**7.4** A Junior Research Fellow (JRF) candidate shall publish at least one article as main author in UGC CARE listed/SCOPUS/Web of Science indexed/refereed/peer reviewed journals to be eligible for promotion as Senior Research Fellow (SRF).

## **8. SUBMISSION OF THE THESIS AND EVALUATION:**

**8.1** The candidate is eligible to submit his/her Thesis only **after completing 3 years (full-time) and 4 years (part-time)** of Research Work from the date of his/her provisional Registration.

### **8.2 Pre-Thesis Submission Colloquium:**

- a) Once the candidate has formally fulfilled all the requirements such as regular submission of satisfactory progress reports and their acceptance, publications, conference presentations and payment of prescribed fee, he/she shall be eligible to submit the final synopsis. The candidate shall submit a request to the Directorate of Research along with five copies of final synopsis and other relevant documents through the Research Supervisor and Head of the department. The Directorate of Research shall verify these documents and accord permission for the review of final synopsis and the conduct of colloquium. The colloquium shall be conducted within a period of three months from the date of permission. If the final synopsis is not satisfactory, it

- shall be resubmitted within a maximum period of two months failing which the candidate shall seek permission for extension not beyond six months.
- b) The Chairperson of the Research Advisory Committee shall convene the **Pre-Thesis Submission Colloquium** meeting in the concerned Department/discipline in consultation with the Research Supervisor. The colloquium shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/Thesis in consultation with the Research Advisory Committee.
  - c) The Research Advisory Committee shall advise and offer suggestions to the candidate for the finalization of the Thesis if any.
  - d) The Chairperson of the Research Advisory Committee shall submit the decision of the Pre-Thesis Submission Colloquium to the Directorate of Research of the University immediately with all the signatures of the members.
  - e) If the Research Advisory Committee is not satisfied with the Pre-Thesis Submission Colloquium of a candidate, it may ask the candidate to reappear for the Colloquium again after a gap of **one month** and before three months and the Chairman of the Research Advisory Committee has to communicate the same to the Directorate of Research.
  - f) The University shall permit the candidate to submit the Thesis.
  - g) The candidate shall submit the Thesis after fulfilling the following requirements:

#### 8.2.1

- i. **Mandatory plagiarism check:** The candidate will have to submit softcopy of his/her Thesis for plagiarism check within six months from the receipt of permission from the University. If the candidate fails to submit his/her Thesis for plagiarism check before six months he/she will have to re-appear before the Research Advisory Committee for pre-Thesis colloquium and obtain permission afresh. The procedure for plagiarism check shall be as outlined below.
- ii. Soft copy of the Doctoral Thesis (preferably through Email/CD-ROM/DVD) covering all the chapters has to be submitted in a single MS-word or PDF file, excluding preliminary pages such as declaration, acknowledgement, abstract, list of charts and abbreviations, table of contents etc. and succeeding pages: glossary, index, questionnaire etc. to the University Librarian/Deputy Librarian. The candidate has to enclose the permission letter for checking plagiarism in his communication received from the University to the University Librarian/Deputy Librarian.
- iii. The University library through the Shodhganga Project and INFLIBNET shall subject the Thesis for plagiarism check through the anti-plagiarism

software. The use of particular anti-plagiarism software can change from time-to-time depending on the choice offered by UGC INFLIBNET/University.

- iv. The limit for plagiarism or percentage of similarity content is as follows.
    - a. **Level 0:** Similarities up to 10% - Minor similarities, no penalty.
    - b. **Level 1:** Similarities above 10% to 40% - Such student shall be asked to submit a revised script within six months.
    - c. **Level 2:** Similarities above 40% to 60% - Such students shall be debarred from submitting a revised script for a period of one year.
    - d. **Level 3:** Similarities above 60%: Such student's registration for that programme shall be cancelled.
  - v. It is the responsibility on the part of the candidate and the Research Supervisor to go through the identified similarity (plagiarized) content and take appropriate measures to ensure originality of the research output. A fee prescribed by the University will be charged if the Thesis is re-submitted for a second time for plagiarism check and the fee will increase with every subsequent resubmission. This is allowed for a maximum of three attempts.
  - vi. The full report of the plagiarism check shall be submitted by the University Librarian/Deputy Librarian to the Directorate of Research along with a copy to the concerned candidate and the guide.
  - vii. Once the candidate receives a communication from the University about the results of the plagiarism check and permission to submit his/her Thesis he/she can do so.
  - viii. For the candidates who are registered under the vernacular language Ph.D. Programme and for those candidates who are submitting their Thesis in languages other than English, Plagiarism Check shall be conducted using the plagiarism check software provided by the University.
- 8.2.2 (a) Once the mandatory plagiarism check is successfully completed, the candidate shall submit Ph.D. Thesis (five hard copies and two soft copies in CDs in .pdf format) through the Research Supervisor and the Head of the department/center to the Registrar. This should be accompanied by five hard copies and a soft copy of the final synopsis, 80 recommendation file and NOCs from the Research Supervisor/department/center and the University Library/hostel etc. within three months from the date of permission. Failure to submit the Thesis within three months period shall entail penalty as prescribed by the University with further extension of three months. The candidate shall attach a copy of plagiarism test report with the Thesis. The Thesis shall reach Examination section within a period of ten days. Once the candidate has submitted the Thesis the candidate is eligible to obtain a Thesis

Submission Certificate from the Directorate of Research upon payment of a prescribed fee.

(b) A certificate stating that 'the candidate has produced two research articles as main author based on his/her research work from the Thesis and the content of the Thesis has not been previously submitted either for award of any Degree or Diploma to this or any other University' duly signed by the Research Supervisor and Co-Research Supervisor, if any, shall be submitted.

(c) The Ph. D. candidate shall submit an undertaking that the Thesis does not contain or Thesis has plagiarized content within the permissible limits.

### 8.3 Evaluation of the Ph.D. Thesis:

Once the candidate submits the Thesis by following all the procedures, the same will be forwarded to the Registrar (Evaluation) from office of the Directorate of Research to arrange for the evaluation:

The Thesis shall be evaluated by the Board of Examiners as follows:

- a) The Thesis shall be evaluated by the Board of Examiners of the Thesis, with Research Supervisor as the Chairperson of the Board and also as an Internal Examiner and two External Examiners.
- b) Upon receiving the letter from the Registrar, the Chairperson of the concerned Board of Studies shall submit to the Registrar (Evaluation) a Panel of a minimum of ten (five from within the Karnataka state and five from outside the Karnataka state/National institutes) examiners drawn from different Universities, Research Institutions/Laboratories. **If a candidate submits his/her Thesis in Kannada language, such Thesis may be evaluated by the two external examiners within Karnataka or outside Karnataka if available. In such cases, the panel of examiners may consist of all the ten examiners from within Karnataka.**
- c) The Panel shall be prepared by the Chairperson of the concerned Board of Studies in consultation with the Research Supervisor and shall obtain approval of the members of the concerned Board of Studies in a meeting or by circulation before sending the Panel to the Registrar (Evaluation). The panel of examiners shall comprise of Professors/Associate Professors/Scientists from reputed laboratories and with proven track record of successful Ph.D. guidance. This process has to be completed by the Chairperson of the concerned BoS **within two weeks** of receipt of communication from the Directorate of Research.

- d) The Registrar (Evaluation) shall seek the acceptance from two of the Examiners in the Panel in the order of preference as approved by the Vice-Chancellor through email, online or by post.
- e) The Examiners shall be asked to sign a Declaration that he/she is not a relative of the candidate or the Research Supervisor or the Co-Research Supervisor and that he/she has no conflict of interest in adjudicating/valuing the Ph.D. Thesis.
- f) The Registrar (Evaluation) shall send the hardcopy/ softcopy of the Thesis through email, online or by post to the examiners.
- g) The Examiners have to examine whether the candidate has achieved the objectives mentioned in the Thesis while preparing his/her report on the Thesis.

#### 8.4 Evaluation Reports:

- (a) The Examiners shall send hardcopy or softcopy of the Evaluation Report through email, online or by post directly to the Registrar (Evaluation) of the University.
- (b) Apart from the Evaluation Report, each Examiner is required to submit a **Short Report in the prescribed proforma** send by the University duly signed and **sent as Hard Copy/FAX/ Scanned document through post, email or online directly to the Registrar (Evaluation).**

#### 8.5 Decision about the Evaluation:

- (a) If any **one** of the Examiners recommends **Revision and re-submission** of the Thesis, then the candidate has to **revise** the Thesis based on the comments made by the Examiner (s) and submit the revised Thesis, duly certified by the Research Supervisor and with payment of the prescribed fees to the Registrar (Evaluation) of the University through the Chairperson of the Department. The Registrar (Evaluation) shall send the Revised Thesis **within fifteen days** to the same Examiner (s), if they have recommended for the revaluation of the re-submitted Thesis by them.
- (b) If **both External Examiners do not recommend the Thesis for the award of Ph.D. degree**, the Registrar (Evaluation) shall notify rejection of the Thesis inform the same to the Registrar and the Ph.D. Registration of the candidate shall be cancelled by the Registrar.
- (c) If **one** of the External Examiners **does not recommend** the Thesis, the Thesis shall be referred to **another** examiner from the approved panel. If this Examiner also **rejects** the Thesis, the Registrar (Evaluation) shall notify **Rejection of the Thesis** and the Ph.D. Registration shall be cancelled by the Registrar.

- (d) If any Examiner (s) recommends the award of degree after incorporating the suggested revisions/ corrections, such modifications shall be complied with by the candidate and Research Supervisor and ratified by the Research Advisory Committee upon receipt of the letter from the Registrar. After ratification, the **Revised Thesis** and payment of the prescribed fees, the Thesis will be evaluated following the same procedure as above.
- (e) If both External Examiners recommend the Thesis for the award of Doctoral degree, the Registrar (Evaluation) will communicate the same to the Research Supervisor/Chairman of the Board along with:
- i. The original Evaluation Reports of the two External Examiners and the Evaluation Report of the Internal Examiner (Research Supervisor).
  - ii. A Consolidated Report outlining the main points in the Evaluation Reports of two External Examiners, along with the Research Supervisor's recommendations clearly mentioning the recommendation for the viva voce.

#### 8.6 VIVA VOCE EXAMINATION:

- (a) After the receipt of the above mentioned Evaluation and Consolidated Reports from the Chairperson, Board of Examiners of the Thesis, the Registrar (Evaluation) shall ask the Chairperson of the Board of Examiners (Research Supervisor) of the concerned candidate to conduct an open Viva-Voce examination in the presence of the external examiner, members of the concerned Faculty, research scholars and students by giving wide publicity as it is an open viva-voce examination. The Proceedings of this meeting and the original Evaluation and Consolidated Reports shall be sent to the Registrar (Evaluation) to notify the declaration of the result. The electronic version of the corrected Thesis shall also be submitted (2 CDs). The Research Supervisor has to ensure that CDs contain the entire Thesis submitted including corrections if any.
- (b) If the candidate desires or if the Research Supervisor/External Examiner requests citing valid reasons then the viva-voce session may be held via **video conferencing** as described in 8.6 (a) by prior approval from Vice-Chancellor after the payment of necessary additional fees prescribed by the University.
- (c) In case of the death/disability/non-availability of the Research Supervisor, or legal actions on the Research Supervisor initiated by the administration, the Vice-Chancellor may nominate a member from the Panel of Examiners/Chairperson of respective Board of Studies to act as the Chairperson, Board of Examiners of the Thesis to adjudicate the Thesis and to conduct the Viva-Voce examination.

### 8.7 Ph.D. Award:

The Ph.D. Declaration Notification by the Registrar (Evaluation) shall be on the Official Letter Head and shall contain:

- (a) The Name of the candidate
- (b) The Discipline/Subject of the Ph.D.
- (c) The Names of Research Supervisor, Co-Research Supervisor (s), if any
- (d) The Name of the Department where the research work was carried out
- (e) The Title of the Thesis
- (f) The language in which the Thesis is written prior to the actual award of the degree.

8.8 The University shall issue a **provisional Ph.D. Degree award Certificate** to the effect that the Degree has been awarded in accordance with the provisions of the UGC Regulations, 2022. The Registrar (Evaluation) shall affix a Seal with the University Emblem stating that the Thesis was accepted for the award as per Tumkur University Ph.D. Regulations - 2024 and issue this copy to the candidate. After the notification is issued the Thesis shall be sent to INFLIBNET, as prescribed by the UGC.


### 8.9 Plagiarism charges and subsequent actions:

- (a) If the University receives complaint of plagiarism with sufficient evidence or if any Examiner points out the occurrence of plagiarism in the Thesis, the Thesis shall be sent to a Subject Expert selected by the Vice-Chancellor from the panel of experts forwarded by the BoS to verify and ascertain the occurrence of plagiarism.
- (b) If plagiarism is proved, then a show cause notice shall be issued to the candidate and the Research Supervisor. After reply to the show cause notice, all documents/reports/answers to the show cause notice shall be placed before the Syndicate for appropriate action including possible annulment of Registration of the candidate and initiation of disciplinary action against the candidate and the Research Supervisor.
- (c) Unsigned and undated complaints without the address of the complainant shall not be entertained by the University.
- (d) The University shall also strictly maintain confidentiality of the name and address of the complainant.

### 9. CHANGE OF TITLE:

- (a) A candidate desiring to modify the existing title of the Thesis shall apply to the Registrar with 06 copies of revised proposal and changed Title through the Research Supervisor and Head of the Department after paying the prescribed fee.

  
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- (b) The Registrar shall seek the opinion of the BoS either through meeting or through circulation for the change of title. Such a change can be permitted any time before the Pre-Thesis Submission Colloquium and the candidate has to appear before the Research Advisory Committee again.
- (c) If a candidate decides to change the topic of research, his/her registration stands cancelled, and the candidate has to undergo the Provisional Registration process again.

#### 10. CHANGE OF RESEARCH SUPERVISOR

- (a) Generally, change of Research Supervisor shall not be entertained and permitted.
- (b) Change of Research Supervisor may be permitted only under exceptional circumstances such as death/disability/other medical conditions of the Research Supervisor or legal actions on the Research Supervisor by the administration.
- (c) In case of any conflict between the Research Supervisor and the Research Scholar, the Chairman of the department on receipt of request letter either from the Research Scholar or from the Research Supervisor or both, shall refer the matter to the Research Advisory Committee. The Research Advisory Committee shall examine the case and send its report/recommendation to the Chairperson of the Department who, in turn, shall forward it to the Vice-Chancellor. The decision of the Vice-Chancellor shall be final in this regard.

#### 11. PUBLICATION OF THE THESIS:

If a candidate intends to publish the Thesis, he/she shall seek the permission of the University. The University will examine whether the Thesis has been accepted for publishing.

#### 12. REMOVAL OF DIFFICULTIES:


Any issue not covered by the foregoing shall be governed by the decision of the Vice-Chancellor and such decision shall be binding on all parties concerned.

#### 13. REPEAL AND SAVINGS:

13.1 Notwithstanding anything contained in these Regulations, the Provisions of any Guidelines, Order, Rule or Regulations in force shall be inapplicable to the extent of their inconsistency with these Regulations.

13.2 The University shall issue such orders, instructions, etc., and prescribe such format, procedure, etc., as it may deem fit to implement the Provisions of these Regulations.

  
Registrar  
Tumkur University  
Tumkur

  
5.3.21

  
Vice Chancellor  
Tumkur University  
Tumkur.