

**Regulations of Tumkur University Governing the Standards and
Procedure for the award of Degree of Doctor of Philosophy-2017
(1st amendment)**

Preamble:- Tumkur University Ph.D. Regulations-2017 is in confirmation with the UGC Regulations on Minimum Standards and Procedures for the Award of M.Phil./PhD Degrees, 2016 notified on 5th May, 2016. These Regulations are amended by University Grants Commission by its 1st Amendment-2018 dated 27.08.2018 and 2nd Amendment-2018 dated 16.10.2018. Hence it is very essential to make Amendments in Tumkur University Ph.D. Regulations-2017.

1. **Short title and commencement:** (1) These Regulations may be called the Tumkur University (Amendment) Governing the Standards and Procedure for the award of Degree of Doctor of Philosophy (Ph.D.) of the Tumkur University
- (2.) These shall come into effect from the date of assent thereto by the Chancellor of the universities.
- (3) Amendment of regulations 4 (4.1(a) and (b), 5.44, 5.5, 5.8, 6.1, 6.2, 6.4, 6.6(i), 6.13(i), 7.3, 8.1, 8.2.1(c), 8.2.1(d), 8.2.1(e) of the principle Regulations shall be substituted

4 ELIGIBILITY CRITERIA FOR RECOGNITION AS GUIDE:

4.1(a). Professors recruited by the University in the Departments of Studies and Research of the University or scientists of equivalent cadre working on regular basis in recognized Research Centers of the University with at least *three publications* as principal author in reputed peer reviewed UGC recognized journals/ book(s) with ISSN number based on the research theme in the discipline in which they are desirous of guiding candidates (other than Ph.D. Publications and in the discipline of Science at least *two papers* must be from Science Citation Index journal out of three publications) are recognized as Ph.D. Guides in their subjects concerned, by virtue of their positions. However, if they wish to supervise candidates in other disciplines/ interdisciplinary subjects, then they have to formally apply for such recognition as prescribed in 4.1(d). **shall be substituted as**

4.1(a). Professors recruited by the University in the Departments of Studies and Research of the University or Scientists of equivalent cadre working on regular basis in recognized Research Centers of the University with at least *three publications* as principal author in UGC CARE List Journals. The Research paper should belong to the discipline in which they are desires of guiding research candidates. In the Discipline of Science at least *two papers* must be from Science Citation Indexed Journals. However, if they wish to supervise candidates in other disciplines/ interdisciplinary subjects, then they have to formally apply for such recognition as prescribed in 4.1(d).


ಕುಲಸಚಿವರು
ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ
ತುಮಕೂರು


Vice Chancellor
Tumkur University
Tumkur.

4.1 (b) Associate/Assistant Professors recruited by the University and working in Department of Studies and Research of the University/ Departments of Constituent Colleges/scientists of equivalent cadre working in the Recognized Research Centers of the University on regular/full-time basis are eligible to be recognized as Ph.D. Guides in a subject/discipline provided they have Ph.D. in that subject/discipline and at *least three research articles* as principal author in reputed peer reviewed UGC recognized journals/book(s) with ISSN number published based on post Ph.D. research theme in the discipline in which they are desirous of guiding candidates and in the discipline of Science at least one paper must be from Science Citation Index journal out of three publications. If the research area is interdisciplinary, persons with Ph.D. in related subjects of the relevant interdisciplinary area and fulfilling the conditions mentioned above can be recognized as guides shall be substituted as

4.1 (b) Associate/Assistant Professors recruited by the University and working in Department of Studies and Research of the University/ Departments of Constituent Colleges/Scientists of equivalent cadre working in the Recognized Research Centers of the University on regular/full-time basis are eligible to be recognized as Ph.D. Guides in a subject/discipline provided they have Ph.D. in that subject/discipline and at *least Three research articles* as principal author in UGC-CARE List Journals published based on post-research theme in the discipline in which they are desirous of guiding candidates and in the Discipline of Science at least one UGC Care List Journals out of three publications. If the research area is interdisciplinary, persons with Ph.D. in related subjects of the relevant interdisciplinary area and fulfilling the conditions mentioned above can be recognized as guides.

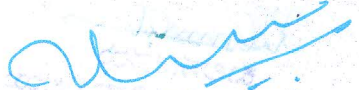
5.4.4 A candidate securing at least 50 marks out of 100 (45 marks out of 100 in case of SC/ST/Cat-1 candidates) in the Entrance Test shall be eligible for admission to the Ph.D. programme shall be substituted as

5.4.4 A candidate securing at least 50 marks out of 100 (45 marks out of 100 in case of SC/ST/Cat-1/OBC (Non-Creamy Layers)/Differently-abled Category candidates) in the Entrance Test shall be eligible for interview to the Ph.D. programme.

5.5 Candidates who have qualified for fellowships such as UGC/CSIR JRF/SRF fellowships through UGC/CSIR NET Examinations/GATE are exempted from Entrance test. They can join the Ph.D. program at any time during the year. However, all such candidates have to undergo an Interview along with entrance test qualified candidates in the forthcoming batch shall be substituted as

5.5 Candidates who have qualified for fellowships such as UGC/CSIR JRF/SRF fellowships through UGC/CSIR NET/DBT-JRF/ICAR Examinations/SLET (KSET) GATE are exempted from Entrance test. Candidate's who are sponsored by the industries are eligible to admit to the Ph.D. Programme as part-time or full-time candidate provided he/she must fulfill clause 5.4 and 5.5 of the regulations. They can join the Ph.D. program at any time during the year. However, all such candidates have to undergo an interview along with entrance test qualified candidates in the forthcoming batch.


ಕುಲಸಚಿವರು
ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ
ತುಮಕೂರು


Vice Chancellor
Tumkur University
Tumkur.

5.8 The Chairperson of the Doctoral committee will prepare a Consolidated Merit List for both entrance test qualified candidates and candidates who have qualified for UGC NET/SLET/CSIR JRF /GATE. The 50% weightage for the performance of the candidate in the interview and 50% weightage for entrance test marks for entrance test qualified candidates; further 50% weightage for master's degree program for candidates who have qualified for UGC NET/SLET/CSIR-JRF/GATE.

It should be noted that successful completion of entrance test and appearance for an interview will only imply the eligibility of a candidate for admission to Ph.D. degree in the University, but shall not guarantee an admission to Ph.D. degree programme Shall be substituted as

5.8 The Chairperson of the Doctoral Committee will prepare a Consolidated Merit List for both entrance test qualified candidates and candidates who have qualified for UGC NET/SLET/CSIR JRF /GATE examinations. A weightage of 70 % to the entrance test and 30% to the performance in the interview/viva-voce shall be given; further 70% weightage for master's degree program for candidates who have qualified for UGC/CSIR JRF/SRF fellowships through UGC/CSIR NET/DBT-JRF/ICAR Examinations/SLET (KSET) GATE Examinations

It should be noted that successful completion of entrance test and appearance for an interview will only imply the eligibility of a candidate for admission to Ph.D. degree in the University, but shall not guarantee an admission to Ph.D. degree programme.

6. REGULAR REGISTRATION:

6.1 All provisionally registered candidates shall undergo Course-Work for not less than six months. The University shall notify the time table and details of the course work including the schedule upon advice from the various departments. The performance of the candidate shall be assessed in continuous mode. The continuous assessment during learning period will be for 25% and the course end assessment at the end of the course will be for 75%.

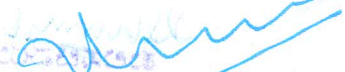
The course structure and the suggested assessment scheme are presented in the table below:

Sl No	Course title	Instruction Hrs. per Week	No. of Credits	Type of the Evaluation	Marks for Internal Assessment	Marks for Course work Exam	Total Marks
1	Research Methodology	05	05	Theory Exam 03 hrs.	25	75	100
2	Specialization Paper(s)	05	05	Theory Exam 03 hrs.	25	75	100

Shall be substituted as

6.1 All provisionally registered candidates shall undergo Course-Work for not less than six months. The University shall notify the time- table and details of the course -work including the


ಕುಲಸಚಿವರು
ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ


Vice Chancellor
Tumkur University
Tumkur.

schedule upon advice from the various departments. The performance of the candidate shall be assessed in continuous mode. The continuous assessment during learning period will be for 25% and the course-end assessment at the end of the course will be for 75%.

The course structure and the suggested assessment scheme are presented in the table below:

Sl No	Course title	Instruction Hrs. per Week	No. of Credits	Type of the Evaluation	Marks for Internal Assessment	Marks for Course work Exam	Total Marks
1	Research Methodology	05	05	Theory Exam 03 hrs	25	75	100
2	Specialization Paper(s)	05	05	Theory Exam 03 hrs	25	75	100
3.	Research and Publications Ethics (RPE)	01 (30 hours)	02	2 hours	10	40	50

6.2 Syllabus for the research methodology and specialization papers is to be prepared and approved by the discipline-wise BOS. The BOS can decide upon the number of specialization papers for individual departments. The prepared syllabus shall be approved by the concerned authorities shall be substituted as

6.2 Syllabus for the Research Methodology and specialization papers is to be prepared and approved by the discipline-wise BOS. The BOS can decide upon the number of specialization papers for individual departments. The prepared syllabus shall be approved by the concerned authorities. Research and Publications Ethics syllabus shall be as per the UGC guidelines.

6.4 Continuous Internal Assessment for Research Methodology and Specialization Papers should include Attendance – 5%, Presentation – 5%, Assignment on Review of Literature- 5% and Internal Tests – 10% as decided by the discipline-wise BOS. The Internal assessment marks obtained by the individual candidates shall be communicated to the Registrar (evaluation) at the end of the course-work by the Chairperson of the Department/research center where the course work is being conducted. The attendance for the course should be maintained by the Chairman of the Department/coordinator of the department/head of the recognized research center shall be substituted as

6.4 Continuous Internal Assessment for Research Methodology and Specialization Papers should include Presentation – 10%, Assignment on Review of Literature- 5% and Internal Test – 10% as decided by the discipline-wise BOS. With regard to Research and Publication Ethics paper, the Continuous Internal Assessment shall be Presentation 4%, Assignment 2% and Internal Tests 4%. The Internal assessment marks obtained by the individual candidates shall be communicated to the Registrar


ಕುಲಸಚಿವರು
ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ
ತುಮಕೂರು


Vice Chancellor
Tumkur University
Tumkur

(Evaluation) at the end of the course-work by the Chairperson of the Department/Research Center where the course work is being conducted. The attendance for the course-work should be maintained by the Chairman of the Department/Coordinator of the Department/Head of the Recognized Research Renter.

6.6 (i) The Registrar (Evaluation) through the discipline-wise PhD BOE Shall arrange to get the question paper set, shall notify the date of coursework examination and shall get scripts evaluated for both research methodology and specialization from one internal and one external examiner of the approved board. The paper setters shall have a track record of successful PhD guidance shall be substituted as

6.6 (i) The Registrar (Evaluation) through the discipline-wise PhD BOE Shall arrange to get the question paper set, shall notify the date of coursework examination and shall get scripts evaluated for research methodology, specialization paper, and paper on Research and Publication Ethics (RPE) from one internal and one external examiner of the approved board. The paper setters shall have a track record of successful PhD guidance.

6.8 PRE-REGISTRATION COLLOQUIM

6.13(i) Ph.D. Programme shall be for a minimum duration of three years (for full-time candidates) and four years (for part-time candidates) including course work and a maximum of six years. The date of conversion from part-time to full-time shall be as recommended by Doctoral Committee (either by availing a fellowship/working in a project) on full-time basis as evidenced by the attendance maintained in the department and approval of the Doctoral committee/BOS. Only candidates who have obtained a fellowship from the University, government of India/Karnataka or who have secured UGC-CSIR JRF/SRF or working in a sponsored project of the guide or have secured fellowship from any other sources for their Ph.D. Programme are eligible for full-time Ph.D. Other candidates who wish to pursue Ph.D. on full-time basis shall request the University. The University shall in turn seek the opinion of the BOS and intimate the same to the candidate after the approval of the Vice Chancellor. Attendance of the full-time research scholars shall be maintained by the department. A full-time candidate may also be permitted to work on part-time basis, but the date of registration shall be same as that of the provisional registration shall be substituted as

6.13(i) PhD Programme shall be for a minimum duration of three years, including course-work and a maximum of six years. The date of conversion from part-time to full-time shall be as recommended by Doctoral Committee (either by availing a fellowship/working in a project) on full-time basis as evidenced by the attendance maintained in the Department and approval of the Doctoral Committee/BOS and on payment of the prescribed status change fee of Rs 5000/-. Only candidates who have obtained a fellowship from the University, Government of India/Karnataka or who have secured UGC-CSIR/DBT/ICAR/JRF/SRF or working in a sponsored project of the guide or have secured fellowship from any other sources for their Ph.D. Programme are eligible for full-time Ph.D. Other candidates who wish to pursue Ph.D. on full-time basis


ಕುಲಸಚಿವರು
ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ
ತುಮಕೂರು


Vice Chancellor
Tumkur University
Tumkur.

shall request the University. The University shall in-turn seek the opinion of the BOS and intimate the same to the candidate after the approval of the Vice Chancellor. Attendance of the full-time research scholars shall be maintained by the Department. A full-time candidate, if wishes may also be permitted to work on part-time basis, however, prior permission to be sought by the university, but the date of registration shall be same as that of the provisional registration.

7. PROGRESS OF RESEARCH WORK:

7.3 The candidate shall publish at least *two research articles* in a UGC approved journal as main author based on his/ her Research Work and should *present two papers* in a National conference/seminar/workshop as the first and presenting author to be eligible to submit the final thesis to the University. If the published reprints are not available, the candidate has to provide the evidence of acceptance of one journal paper attested by the guide. Shall be substituted as

7.3 The candidate shall publish at least *two research papers* in journals (UGC care list) as the main author based on his/ her research work. to be eligible if the published reprints are not available, the candidate has to provide the evidence of acceptance of at least one paper in a journal attested by the guide

8. SUBMISSION OF THE THESIS AND EVALUATION:

8.1 The candidate is eligible to submit his/her Thesis only after completing 4 years (part-time) and 3years (full -time) of research work from the date of his/her registration, i.e. from the date of provisional registration. Shall be substituted as

8.1 The candidate is eligible to submit his/her Thesis , Whether part- time or full- time only after the completion of 3 years of research work from the date of his/her registration, i.e., from the date of provisional registration.(Part -time or Full- time)

8.2 Submission of Ph.D. Thesis: 8.2.1Mandatory plagiarism check

8.2.1 (c) The limit for plagiarism or percentage of similarity allowed is up-to 25% of similarity index for doctoral thesis. 5% additional similarity shall be allowed for own content from publications provided the guide and the candidate providing a certificate of publication and on provision of the original article Shall be substituted as

8.2.1 (c) The limit for plagiarism or percentage of similarity allowed is up-to 10% of similarity index for doctoral thesis. A 5% additional similarity shall be allowed for own content from publications provided the guide and the candidate providing a certificate of publication and on provision of the original article.


ಕುಲಸಚಿವರು.
ತುಮಕೂರು ವಿಶ್ವವಿದ್ಯಾನಿಲಯ
ತುಮಕೂರು


Vice Chancellor
Tumkur University
Tumkur

